

Form PTO-1449 (modified)

MAR 14 2003 List of Patents and Publications for Applicant's

Atty. Docket No.
UTC6:607USC1Serial No.
09/840,722Applicant
Michael C. MacLeod *et al.*

RECEIVED

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:
April 23, 2001Group: MAR 20 2003
1634U.S. Patent Documents
See Page 1Foreign Patent Documents
See Page 1Other CENTER 1600/2900
See Page 2

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
9	A5	5,053,336	10/1/91	Vanderlaan <i>et al.</i>	435	240.27	7/17/89
	A6	5,290,677	3/1/94	Robertson <i>et al.</i>	435	5	1/31/92
	A7	5,521,084	5/28/96	Kowalski <i>et al.</i>	435	240.2	11/10/92
	A8	5,656,470	8/12/97	Martinis <i>et al.</i>	435	183	8/12/97
	A9	5,688,648	11/18/97	Mathies <i>et al.</i>	435	6	12/19/95
	A10	5,736,330	4/7/98	Fulton	435	6	10/11/95
	A11	5,767,288	6/16/98	Rock <i>et al.</i>	549	22	1/5/96
	A12	5,770,716	6/23/98	Khan <i>et al.</i>	536	23.1	4/10/97
	A13	5,804,380	9/8/98	Harley <i>et al.</i>	435	6	4/15/96
	A14	5,817,462	10/6/98	Garini <i>et al.</i>	435	6	4/22/96
	A15	5,837,836	11/17/98	Friderici <i>et al.</i>	536	23.1	9/19/95
	A16	5,843,773	12/1/98	Shin <i>et al.</i>	435	320.1	3/25/96
	A17	5,851,772	12/22/98	Mirzabekov <i>et al.</i>	435	6	1/29/96
	A18	5,853,992	12/29/98	Glazer <i>et al.</i>	435	6	10/4/96
	A19	5,945,290	8/31/99	Cowser	435	6	9/18/98
	A20	6,002,817	12/14/99	Kopelman <i>et al.</i>	385	12	9/29/97
	A21	6,007,996	12/28/99	McNamara <i>et al.</i>	435	6	7/27/98

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

25265349.1

EXAMINER:

DATE CONSIDERED: 8/4/03

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609. DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)

Atty. Docket No.
UTSC:607USC1Serial No.
09/840,722

List of Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant
Michael C. MacLeod *et al.*Filing Date:
April 23, 2001Group:
1634U.S. Patent Documents
*See Page 1*Foreign Patent Documents
*See Page 1*Other Art
See Page 2

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C28	An et al., "Organization and nucleotide sequence of a new ribosomal operon in Escherichia coli containing the genes for ribosomal protein S2 and elongation factor Ts," <i>Nucleic Acids Research</i> , 9:4163-4172, 1981.
	C29	Arrand et al., "Molecular cloning of the complete Epstein-Barr virus genome as a set of overlapping restriction endonuclease fragments," <i>Nucleic Acids Research</i> , 9:2999-3014, 1981.

25265349.1

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)



Form PTO-1449 (modified)

Atty. Docket No.
UTSC:607USC1/DLPSerial No.
09/840,722

List of Patents and Publications of Applicant's

Applicant
Michael C. MacLeod, C. Marcelo Aldaz, Sara Gaddis

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:
April 23, 2001Group:
UnknownU.S. Patent Documents
See Page 1Foreign Patent Documents
See Page 1Other Art
See Page 1

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	5,866,330	02/02/99	Kinzler <i>et al.</i>	436	6	10/18/95
	A2	5,874,215	02/23/99	Kuiper <i>et al.</i>	435	6	01/16/96
	A3	5,871,697	02/16/99	Rothberg <i>et al.</i>	422	68.1	10/24/95

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	0 534 858 A1	09/24/92	Europe			
	B2	WO 98/08981	03/05/98	PCT			

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Adams <i>et al.</i> , "Rapid cDNA sequencing (expressed sequence tags) from a directionally cloned human infant brain cDNA library," <i>Nat Genet.</i> 4:373-80, 1993
	C2	Bachem <i>et al.</i> , "Visualization of differential gene expression using a novel method of RNA fingerprinting based on AFLP: analysis of gene expression during potato tuber development," <i>The Plant Journal</i> , 9:745-753, 1996.
	C3	Bertoli <i>et al.</i> , "An analysis of differential display shows a strong bias towards high copy number mRNAs," <i>Nucleic Acids Res.</i> , 23:4520-3, 1995
	C4	DeRisi <i>et al.</i> , "Use of a cDNA microarray to analyse gene expression patterns in human cancer," <i>Nature Genetics</i> , 14:457-460, 1996.
	C5	Habu <i>et al.</i> , "Amplified Restriction Fragment Length Polymorphism-based mRNA fingerprinting using a single restriction enzyme that recognizes a 4-bp sequence," <i>Biochemical and Biophysical Research Communications</i> , 234:516-521, 1997.
	C6	Hedrick <i>et al.</i> , "Sequence relationships between putative T-cell receptor polypeptides and immunoglobulins," <i>Nature</i> , 308:153-1588 1984.

25033663.1

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified)

List of Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

U.S. Patent Documents

See Page 1

Foreign Patent Documents

See Page 1

Other Art

See Page 1

Atty. Docket No.

UTSC:607USC1/DLP

Serial No.

09/840,722

Applicant

Michael C. MacLeod, C. Marcelo Aldaz, Sara Gaddis


Filing Date:

April 23, 2001

Group:

Unknown

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C7	Hsieh <i>et al.</i> , "E2F1-induced apoptosis requires DNA binding but not transactivation and is inhibited by the retinoblastoma protein through direct interaction," <i>Genes Dev.</i> , 11:1840-1852, 1997.
	C8	Ito <i>et al.</i> , "Fluorescent differential display: arbitrarily primed RT-PCR fingerprinting on an automated DNA sequencer," <i>FEBS Lett.</i> , 351:231-236, 1994.
	C9	Ivanova and Belyavsky, "Identification of differentially expressed genes by restriction endonuclease-based gene expression fingerprinting," <i>23:2954-2958</i> , 1995.
	C10	Liang and Pardee, "Differential display of eukaryotic messenger RNA by means of the polymerase chain reaction," <i>Science</i> , 257:967-971, 1992.
	C11	MacLeod <i>et al.</i> , "Binding of the transcription factor, Sp1, to non-target sites in DNA modified by benzo[a]pyrene diol epoxide," <i>Carcinogenesis</i> 16:975-983, 1995.
	C12	MacLeod <i>et al.</i> , "Interference of benzo[a]pyrene Diol epoxide-deoxyguanosine adducts in a GC box with binding of the transcription factor Sp1," <i>Mol. Carcinogenesis</i> 16:44-52, 1996.
	C13	MacLeod, "A possible role in chemical carcinogenesis for epigenetic, heritable changes in gene expression," <i>Mol. Carcinogenesis</i> 15:241-250, 1996.
	C14	Money <i>et al.</i> , "AFLP-based mRNA fingerprinting," <i>Nucleic Acids Research</i> , 24:2616-2617, 1996.
	C15	Okubo <i>et al.</i> , "Large scale cDNA sequencing for analysis of quantitative and qualitative aspects of gene expression," <i>Nat Genet.</i> , 2:173-179, 1992.
	C16	Pierce <i>et al.</i> , "Deregulated expression of E2F1 induces hyperplasia and cooperates with <i>ras</i> in skin tumor development," <i>Oncogene</i> , 16:1267-1276, 1998.
	C17	Pierce <i>et al.</i> , "Increased E2F1 activity induces skin tumors in mice heterozygous and nullizygous for p53," <i>Proc. Nat'l Acad. Sci. USA</i> , 95:8858-8863, 1998.
	C18	Schena <i>et al.</i> , "Quantitative monitoring of gene expression patterns with a complementary DNA microarray," <i>Science</i> , 270:467-470, 1995.
	C19	Shinkets <i>et al.</i> , "Gene expression analysis by transcript profiling coupled to a gene databank query," <i>Nat Biotechnol.</i> 17:798-803, 1999.

25033663.1

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)		Atty. Docket No. UTSC:607USCI/DLP	Serial N. 09/840,722
List of Patents and Publications of Applicant's		Applicant Michael C. MacLeod, C. Marcelo Aldaz, Sara Gaddis	
INFORMATION DISCLOSURE STATEMENT		Filing Date: April 23, 2001	Group: Unknown
(Use several sheets if necessary)			
U.S. Patent Documents See Page 1	Foreign Patent Documents See Page 1	Other Art See Page 1	

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C20	Song and Osborn, "A method for examining expression of homologous genes in plant polyploids," <i>Plant Mol Biol.</i> 26:1065-1071, 1994.
	C21	Stone and Wharton, "Targeted RNA fingerprinting: the cloning of differentially-expressed cDNA fragments enriched for members of the zinc finger gene family," <i>Nucleic Acids Res.</i> , 22:2612-2618, 1994.
	C22	Velculescu <i>et al.</i> , "Characterization of the yeast transcriptome," <i>Cell</i> , 88:243-251, 1997.
	C23	Velculescu <i>et al.</i> , "Serial analysis of gene expression," <i>Science</i> , 270:484-487, 1995.
	C24	Vos <i>et al.</i> , "AFLP: a new technique for DNA fingerprinting," <i>Nucleic Acids Research</i> , 23:4407-4414, 1995.
	C25	Wang and Feuerstein, "Direct Sequencing of DNA isolated from mRNA differential display," <i>Biotechniques</i> , 18:448-453, 1995.
	C26	Wang <i>et al.</i> , "Rapid analysis of gene expression (RAGE) facilitates universal expression profiling," <i>Nucleic Acids Research</i> , 27(23):4609-4618, 1999.
	C27	Zhang <i>et al.</i> , "Gene expression profiles in normal and cancer cells," <i>Science</i> , 276:1268-1272, 1997.

25033663.1

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)